|  |  |  |  |
| --- | --- | --- | --- |
| **RCH/ALR Facilities** |  |  |  |
| **Information** | **Text Element** | **How Structured** | **How Will Be Used** |
| Facility Identifier | Provider Identification Number (RCH and ALR appear to be 4-digits) | Text | Correlates with an actual facility name; also to link with other databases that contain more info on characteristics of that facility. |
| Type of Facility | Could tie to whether there is F000; R100 or A 001 | Options are: NF, RCH, ALR | To distinguish if databases are combined |
| Type of Survey | [See below] | Converted to one of the following options: Health, Investigation, Revisit, Accepted Plan | There’s also Life Safety Code but those are a different structure, won’t worry about them now. |
| Date of Original Survey | Referenced in the cover letter (first page) | Date | Will want to track trends in survey findings over time |
| Date of Results | Cover letter is dated | Date |  |
| Violations (Y/N)? | Will have “R100” or “A 001” but no others (it appears that the A 001 has a space) | 0/1 | Mostly to get the denominator right; also want to track trends in investigation surveys without any findings |
| Violation Types | [See below] | As a 0/1 under the primary sections | Gives a broad overview of the types of findings. |
| List of R-tags; A-tags | Lefthand column  [See below re. ALR and tags] | Remove all R100 and A 001; comma delineated list of each unique tag that appears | No one seems to know what these tags actually mean, I don’t have a grid like the ftags |
| Severity Levels | There will be SS= and a letter | Just pull which unique letters appear |  |
| Length of Report | Page #s in Footer | Number | Proxy for detail in the reported investigation |

**Type of Survey** – May need to pull from cover letter (text before “Sincerely,”?)

“acceptable plans of correction” = Accepted Plan

“complaint investigation” = Investigation

“annual survey” or “re-licensure” = Health

I can’t find any revisit survey that isn’t an ‘acceptable plans’ follow up . . . ?

There was a problem in staff capacity this past year for processing these survey reports in a timely fashion . . . I'm wondering if they backed up and we’re seeing the plans of correction posted without having a previous posting of the original survey results. Because the dates between the posting feed and the cover letters seem to be jumbled up.

**Violation Types:** I’m hoping that the Plain Text conversion process will keep the formatting to use the “R###” or “A ###” as the text marker that says the next set of terms is the violation type. Then there will be a roman numeral and the name of the violation. (I’m concerned that in the OCR conversion the “.” in the roman numeral may not always get translated?)

I am not finding many reports with the ALR tags (see below explaining why) but here is an example:

* <https://dlp.vermont.gov/sites/dlp/files/documents/residence-otter-creek-2022-12-15.pdf>

From these it looks like there are some idiosyncracies :

* A-tag is formatted with a space “A 607”
* Violations name is not in CAPS
* Roman numeral before violation name does not have “.”

The [ALR regulations](https://dail.vermont.gov/sites/dail/files//documents/Assisted_living_regs_final_2003.pdf) are in addition to RCH regulations, so it’s like an addendum. Recommendation is to not simply mark when a survey also includes a tag that begins with “A”, other than A 001, and not worry about individual section citations.

[RCH Regulations are here.](https://dail.vermont.gov/sites/dail/files//documents/Res_Care_Hom_Licensing_Regulations_2000.pdf) The table of contents will give you the sections – the ones with penalties are:

* V. RESIDENT CARE (5.3; 5.8; 5.9; 5.10; 5.15; 5.18)
* VI. RESIDENTS’ RIGHTS
* VII. NUTRITION
* VIII. LAUNDRY
* IX. PHYSICAL (9.11)
* X. PETS
* XI. RESIDENT FUNDS

Within each of those big categories there are subcategories that appear more than others, those are in the parentheses – I don’t know if it works to also mark if these appear in a report? So the Overview of Violations 0/1 grid would be something like:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| V. RESIDENT CARE | 5.3 | 5.8 | 5.9 | 5.10 | 5.15 | 5.18 (and so on) |
| 1 | 0 | 1 | 0 | 0 | 1 | 0 |
| 1 | 0 | 0 | 0 | 0 | 0 | 0 (violation is in a dif. sub-category) |